



THE BIONDO GROUP, LLC

Biondo Investment Advisors, LLC and
Biondo Asset Management, LLC

PRIVACY POLICY NOTICE REGULATION S-P

Introduction

On June 22, 2000, the U.S. Securities and Exchange Commission ("Commission") issued Regulation S-P covering privacy of consumer financial information. This rule requires us to:

- Provide notice to consumers about our privacy policy and practices;
- Describe the conditions under which we could disclose nonpublic personal information about a consumer to nonaffiliated third parties; and
- Provide consumers with the opportunity to "opt out" of disclosure of their information to nonaffiliated third parties.

These rules are intended to provide consumers with knowledge about the treatment of their nonpublic personal information. Furthermore, the rules are intended to protect nonpublic information once it is in our possession.

The Biondo Group, LLC, which includes Biondo Investment Advisors, LLC and Biondo Asset Management, LLC, understands that you have entrusted us with your private financial information, and we shall do everything we can to maintain that trust. Our relationship with you is our most important asset. In our normal course of business, we collect your nonpublic personal information to open your account(s), to process your transactions, and to help us provide better and/or additional services to you.

Our privacy policy applies to current and former clients and customers, and to consumers. We refer to "nonpublic personal information" within the text of our policy as any personally identifiable information about a consumer that is obtained by us. It also includes any list, grouping, or categorization of consumer information (and publicly available information about them) that is derived from personally identifiable financial information. For example, we may not use nonpublic information, such as an account number, to determine otherwise publicly available information, such as a street address.

PRIVACY POLICY

- ✓ We collect nonpublic personal information in the normal course of business in order to administer your account(s) and better serve you, which may include information such as your name, address, phone number, email address, Social Security number, and information about your interests, investments, and investment experience. We may collect such information in one or more of the following ways: (i) from the data that you provide to us when you open an account, register for one of our services or when you accept a promotional offer for a Biondo Group, LLC, Biondo Investment Advisors, LLC or Biondo Asset Management, LLC-sponsored product or service; (ii) from our collection of information from consumer reporting agencies; (iii) once you have opened an account with us, to effectively administer your account and better serve you we collect and maintain personal information about your transactions, including balances, positions, history, and may include your name or other data in an internal client list that reflects your activities with us; (iv) from data obtained from outside mailing lists; and (v) from certain information generated via our website. For example, we may track the pages on our website that you specifically visit. This information, however, is only personally identifiable should you proactively choose to provide us with personal information.
- ✓ The Biondo Group, LLC, Biondo Investment Advisors, LLC, or Biondo Asset Management, LLC shall not sell your nonpublic personal information to anyone.

- ✓ We shall use your nonpublic personal information to fulfill our regulatory obligations and to help us deliver the best possible services to you. For example, we may use your nonpublic personal information to provide faster, more convenient services, or to alert you to our products and services that we believe you may find useful.
- ✓ The Biondo Group, LLC, Biondo Investment Advisors, LLC or Biondo Asset Management, LLC shall not disclose nonpublic personal information to third parties, unless one of the following limited exceptions applies: (i) disclosure of nonpublic personal information, such as account and transaction data, to companies, including financial institutions, which assist us in our clearing responsibilities, compliance or marketing efforts. We have specific contracts with these companies that prohibit them from using your nonpublic personal information for their own purposes; (ii) disclosure or reporting of your nonpublic personal information in limited circumstances where we believe in good faith that disclosure is required or permitted under law. Examples of this type of disclosure include, but are not limited to: requests from industry (federal and state) regulators or law enforcement, consumer disputes or to perform a consumer background or credit check; and (iii) disclosure of your nonpublic personal information to companies that help us process or service your transactions or account(s), such as clearing firms and other industry service providers. Outside of these limited exceptions, we shall not disclose your nonpublic personal information with third parties unless you have specifically requested that information be released to them.
- ✓ The Biondo Group, LLC, Biondo Investment Advisors, LLC, and Biondo Asset Management, LLC maintain administrative, electronic, physical and procedural safeguards that protect your nonpublic personal information.
- ✓ Companies we hire to provide support services are not allowed to use your personal information for their own personal or business-related purposes. We limit their use of your nonpublic personal information to the performance of the special services and information they provide to our employees and agents. All employees are trained and required to safeguard such personal information.
- ✓ The Biondo Group, LLC, Biondo Investment Advisors, LLC, and Biondo Asset Management, LLC continually evaluate our policies and procedures to protect your personal information. We make every effort possible to keep your personal information accurate, current and confidential. If you ever identify any inaccuracy in your personal information, or need to update that information, please contact us so that we may promptly change our records and provide you with the best possible services and products.
- ✓ Notice of changes in our information-sharing practices and procedures will be provided to you. If, at any time in the future, we find it necessary to disclose any of your information in a way that is inconsistent with this policy, The Biondo Group, Biondo Investment Advisors or Biondo Asset Management will give you advance notice of the proposed change so that you will have the opportunity to opt out of such disclosure. Additionally, you have the ongoing right to “opt out” of the potential disclosure of your nonpublic personal information to certain third parties at any time. You may choose to advise us of your preference prior to our notice of an upcoming disclosure. Once you exercise your right to opt out, we may not disclose nonpublic personal information about you to certain third parties unless you retract your opt out.
- ✓ The Biondo Group, LLC, Biondo Investment Advisors, LLC, or Biondo Asset Management, LLC shall provide all current clients and customers with an annual privacy notice.

If you have any questions, comments or concerns, please contact The Biondo Group, LLC, Biondo Investment Advisors, LLC, or Biondo Asset Management, LLC toll free at 1-877-246-6367 or at (570) 296-5525. You may also reach us in writing or in person at 540 Routes 6 & 209, PO Box 909, Milford, PA 18337 or through our website: www.thebiondogroup.com.

Our relationship with you is our most important asset and we will continually strive to protect your nonpublic personal information while providing you with the best service possible.